PTO/SB/21 (09-04)
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## **TRANSMITTAL FORM**

(to be used for all correspondence after initial filing)

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pond to a collection of information	n unless it displays a valid OMB control number.
Application Number	10/706,209-Conf. #5999
Filing Date	November 12, 2003
First Named Inventor	Tieyu Zheng
Art Unit	2874
Examiner Name	Not Yet Assigned
Attorney Docket Number	30320/15635X

	EN	ICLOSURES (Check all	that appl	<i>y</i> )		
Fee Transmittal Form		Drawing(s)		After Allowance Communication to TC		
Fee	Attached	Licensing-related Papers		Appeal Communication to Board of Appeals and Interferences		
Amendme	nt/Reply	Petition		Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)		
After	Final	Petition to Convert to a Provisional Application		Proprietary Information		
Affid	avits/declaration(s)	Power of Attorney, Revocati Change of Correspondence		Status Letter		
Extension	of Time Request	Terminal Disclaimer		X Other Enclosure(s) (please Identify below):		
Express Abandonment Request		Request for Refund		PTO/SB/08		
x Information	n Disclosure Statement	CD, Number of CD(s)				
Certified C Document	opy of Priority (s)	Landscape Table on	CD			
	lissing Parts/ e Application	Remarks				
	y to Missing Parts under FR 1.52 or 1.53					
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	SIGNATI	JRE OF APPLICANT, ATTOR	RNEY, OR	AGENT		
Firm Name	MARSHALL, GERSTEIN & BORUN LLP					
Signature	Signature Marla L. Hudson					
Printed name	Marla L. Hudson					
Date	April 14, 2005			43,680		

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail, in an envelope addressed to: MS Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

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Dated: April 14, 2005

Signature: Maria L. Hudson

Docket No.: 30320/15635X

(PATENT)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Tieyu Zheng

Application No.: 10/706,209

Confirmation No.: 5999

Filed: November 12, 2003

Art Unit: 2874

-For: LOW-PROFILE PACKAGE FOR HOUSING

AN OPTOELECTRONIC ASSEMBLY

Examiner: Not Yet Assigned

## **INFORMATION DISCLOSURE STATEMENT (IDS)**

MS Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 CFR 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08. Applicant respectfully requests that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is filed before the mailing date of a first Office Action on the merits as far as is known to the undersigned (37 CFR 1.97(b)(3)). Therefore, no fee is required.

Copies of the references on the PTO/SB/08 are <u>not</u> provided.

In accordance with 37 CFR 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists. In accordance with 37 CFR 1.97(h), the filing of this Information Disclosure statement shall not be construed to be an

Application No.: 10/706,209 Docket No.: 30320/15635X

admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

Applicant submits this Information Disclosure Statement is in compliance with 37 CFR 1.98 and respectfully requests the Examiner to consider the listed references.

The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 13-2855, under Order No. 30320/15635X. A duplicate copy of this paper is enclosed.

Dated: April 14, 2005

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Respectfully submitted,

By Marla Z. Hudson
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Registration No.: 43,680

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Substitute for form 1449A/B/PTO		Complete if Known			
				Application Number	10/706,209-Conf. #5999
INF	ORMATIO	N DI	SCLOSURE	Filing Date	November 12, 2003
STATEMENT BY APPLICANT			APPLICANT	First Named Inventor	Tieyu Zheng
				Art Unit	2874
(Use as many sheets as necessary)		Examiner Name	Not Yet Assigned		
Sheet	1	of	1	Attorney Docket Number	30320/15635X
				1	

U.S. PATENT DOCUMENTS					
Examiner Cite		Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where
Initials*	No.1	Number-Kind Code <sup>2</sup> ( if known)	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
		US-4,780,795	10-25-1988	Meinel	
		US-5,337,396	08-09-1994	Chen et al.	
		US-2003/0231671	12-18-2003	Huang et al.	
		US-2004/0012083	01-22-2004	Farrell et al.	
		US-2004/0010910	01-22-2004	Farrell et al.	
		US-2004/0150072	08-05-2004	Schnitt et al.	

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Foreign Patent Document  Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>

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<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.